Practitioner's Docket No. 2308/102   *PATENT*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sunstein, Bruce D. ; Shapiro, Eileen C.
Application No.: 09/448,722   Group No.: 2766
Filed: 11/24/1999   Examiner: Not yet assigned
For: Apparatus and Method for Authenticated Multi-User Personal Information Database

Commissioner for Patents
Washington, D.C. 20231

RECEIVED

FEB 1 9 2002

AMENDMENT TRANSMITTAL

Technology Center 2100

1.   Transmitted herewith is an amendment for this application.

STATUS

2.   Applicant is a small entity. A statement was already filed.

EXTENSION OF TERM

3.   The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.
      Applicant believes that no extension of term is required. However, this conditional petition
      is being made to provide for the possibility that applicant has inadvertently overlooked the

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CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:
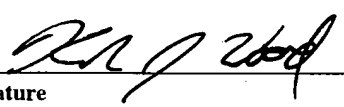
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Date: January 24, 2002

Signature

Keith J. Wood

(type or print name of person certifying)

need for a petition for extension of time.

FEE FOR CLAIMS

4.   The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col.1) Claims Remaining After Amendment		(Col. 2) Highest No. Previously Paid For	(Col. 3) Present Extra	SMALL ENTITY Rate	Addit. Fee
Total	47	Minus	46	= 1	x $9 =	$9
Indep.	5	Minus	5	= 0	x $40 =	$0
First Presentation of Multiple Dependent Claim					+ $135 =	$0
					Total Addit. Fee	$9

*   If the entry in Col. 1 is less than the entry in Col. 2, write ⬛O⬛ in Col. 3,

**   If the ⬛Highest No. Previously Paid For⬛ IN THIS SPACE (Column 2, Row 1) is less than 20, enter ⬛20⬛.

***   If the ⬛Highest No. Previously Paid For⬛ IN THIS SPACE (Column 2, Row 2) is less than 3, enter ⬛3⬛.

The ⬛Highest No. Previously Paid For⬛ (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

<div align="center">Total additional fee for claims required $9.00</div>

<div align="center">

FEE PAYMENT

</div>

5.   Attached is a check in the sum of $9.00.

<div align="center">

FEE DEFICIENCY

</div>

6.   If any additional extension and/or fee is required, charge Account No. 19-4972.
            If any additional fee for claims is required, charge Account No. 19-4972.

Date: January 24, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sunstein et al.   Atty Docket: 2308/102
Serial No: 09/448,722   Art Unit: 2766
Date Filed: November 24, 1999   Examiner: Not yet assigned
Invention: APPARATUS AND METHOD   Date: January 24, 2002
     FOR AUTHENTICATED MULTI-USER
     PERSONAL INFORMATION DATABASE

CERTIFICATE OF MAILING

     I hereby certify that this correspondence is being deposited on the date given below with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231.

Date: January 24, 2002

Keith J. Wood
Name of Person Mailing Paper

Signature of Person Mailing Paper

Commissioner for Patents
Washington, DC 20231

SECOND PRELIMINARY AMENDMENT

Dear Sir:

     Please add new claim 35, to read as follows:

35.   (New) A method according to claim 1, wherein any financial information that may be in the data set is not limited to that of a particular banking or financial institution.

REMARKS

     Applicants respectfully request entry of a preliminary amendment to the claims to add new claim 35, which specifies that any financial information that may be in the data set is not limited to that of a particular banking or financial institution. Support for this claim exists, for example, in claim 1 as originally filed and in the application, page 11, lines 1-3 (personal information is obtained from the user in an enrollment phase); and page 3, line 26 to page 4, line 6. The latter passage makes clear that "personal

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